Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
5	**Grand Total**	NIL	NIL	NIL	NIL	NIL		NIL

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April- 2023	NIL	NIL	NIL	NIL
2	May -2023	NIL	NIL	NIL	NIL
3	June-2023	NIL	NIL	NIL	NIL
4	July-2023	NIL	NIL	NIL	NIL
5	August-2023	NIL	NIL	NIL	NIL
6	September-2023	NIL	NIL	NIL	NIL
7	October -2023	NIL	NIL	NIL	NIL
8	November -2023	NIL	NIL	NIL	NIL
9	December-2023	NIL	NIL	NIL	NIL
10	January -2024	NIL	NIL	NIL	NIL
11	February -2024	NIL	NIL	NIL	NIL
12	March -2024	NIL	NIL	NIL	NIL
13	April- 2024	NIL	NIL	NIL	NIL
14	May -2024	NIL	NIL	NIL	NIL
15	June-2024	NIL	NIL	NIL	NIL
16	July-2024	NIL	NIL	NIL	NIL
17	August-2024	NIL	NIL	NIL	NIL
18	September-2024	NIL	NIL	NIL	NIL
19	October -2024	NIL	NIL	NIL	NIL
20	November -2024	NIL	NIL	NIL	NIL
21	December-2024	NIL	NIL	NIL	NIL
22	January -2025	NIL	NIL	NIL	NIL
23	February -2025	NIL	NIL	NIL	NIL
24	March -2025	NIL	NIL	NIL	NIL
25	April- 2025	NIL	NIL	NIL	NIL
26	May -2025	NIL	NIL	NIL	NIL
27	June-2025	NIL	NIL	NIL	NIL
28	July-2025	NIL	NIL	NIL	NIL
29	August-2025	NIL	NIL	NIL	NIL
30	September-2025	NIL	NIL	NIL	NIL

31	October-2025	NIL	NIL	NIL	NIL
32	November-2025	NIL	NIL	NIL	NIL
33	December-2025	NIL	NIL	NIL	NIL
34	January-2026	NIL	NIL	NIL	NIL
35	February-2026	NIL	NIL	NIL	NIL
36	March-2026	NIL	NIL	NIL	NIL
37	April-2026	NIL	NIL	NIL	NIL
38	May-2026	NIL	NIL	NIL	NIL
39	June-2026	NIL	NIL	NIL	NIL
40	July-2026	NIL	NIL	NIL	NIL
41	August-2026	NIL	NIL	NIL	NIL
42	September-2026	NIL	NIL	NIL	NIL
43	October-2026	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

*Should include complaints of previous months resolved in the current month, if any.
**Should include total complaints pending as on the last day of the month, if any.
^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
8	2024-25	NIL	NIL	NIL	NIL
8	2025-26	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL